

United States Patent and Rade

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

WASHINGTON, D.C. 20231 www.uspto.gov

10/010,748

11/13/2001

Robert Byrne

5681-08000

COPY OF PAPERS

CONFIRMATION NO. 2963 FORMALITIES LETTER

OC000000007315525*

ORIGINALLY FILED

Robert C. Kowert Conley, Rose, & Tayon, P.C. P.O. Box 398 Austin, TX 78767

Date Mailed: 01/16/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$366.
 - \$198 for 11 total claims over 20.
 - □ \$168 for 2 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1236.

A copy of this notice <u>MUST</u> be returned with the reply. 03'06/2002 BNGUYEN1 0J000067 501523 3333 8888

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JAN 2 2 2002





Sector \$ PATENT

PATENT (5681-08000/P6369)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/010,748

Filed:

November 13, 2001

Inventor(s):

Robert Byrne

Title:

Filter-Based Attribute Value

Access Control

Examiner:

Unknown

Group/Art Unit:

2182

Atty. Dkt. No:

5681-08000

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Name of Registered Representative

me of Registered Rep

Date

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed January 16, 2002, there is enclosed herewith:

- ☑ An Assignment of the invention to Sun Microsystems, Inc.
- ☑ A combined Declaration and Power of Attorney executed by the inventor(s)



- A Fee Authorization Form for \$1,276.00 to cover:

 filing fee for large entity (\$740.00)

 surcharge for late filing of oath or declaration for large entity (\$130.00)

 assignment fee (\$40.00)

 extra independent claims (\$168.00)

 extra dependent claims (\$198.00)
- ☑ A copy of Notice to File Missing Parts of Application Filing Date Granted
- A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-08000/RCK.

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Attorney for Applicants

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Ph: (512) 476-1400

Date: Feb. 15, 222